



EUROPEAN COMMISSION
CONSUMER, HEALTH AND FOOD EXECUTIVE AGENCY

Health Unit

Luxembourg,
EAHC LB/IK Ares (2014)

2014 CALL FOR PROPOSALS FOR PROJECTS

THIRD PROGRAMME OF COMMUNITY ACTION IN THE FIELD OF HEALTH (2014-2020)

1. BACKGROUND AND PURPOSE OF THIS CALL

On 11 March 2014, the European Parliament and the Council adopted a Regulation establishing the third programme for the Union's action in the field of health (2014-2020)¹. This programme entered into force retroactively from the 1 January 2014 onwards.

The Consumer, Health and Food Executive Agency (Chafea) is entrusted with the implementation of the third Health Programme.

The general objectives of the Programme shall be to complement, support and add value to the policies of the Member States aimed at improving the health of Union citizens and reduce health inequalities by promoting health, encouraging innovation in health, increasing the sustainability of health systems and protecting Union citizens from serious cross-border health threats.

The specific objectives of the Programme are:

1. In order to promote health, prevent diseases, and foster supportive environments for healthy lifestyles: identify, disseminate and promote the uptake of evidence-based and good practices for cost-effective health promotion and disease prevention measures by addressing in particular the key lifestyle related risk factors with a focus on the added value for the Union.
2. In order to protect Union citizens from serious cross-border health threats: identify and develop coherent approaches and promote their implementation for better preparedness and coordination in health emergencies.
3. In order to support public health capacity-building and contribute to innovative, efficient and sustainable health systems: identify and develop tools and mechanisms at Union level to address shortages of resources, both human and financial, and to facilitate the voluntary uptake of innovations in public health intervention and prevention strategies.

¹ Regulation No 282/2014 of the European Parliament and of the Council of 11 March 2014 on the establishment of a third Programme for the Union's action in the field of health (2014-2020) and repealing Decision No 1350/2007/EC. OJ L86, volume 57; 21 March 2014.

4. In order to facilitate access to better and safer healthcare for Union citizens: increase access to medical expertise and information for specific conditions also beyond national borders, facilitate the application of the results of research and develop tools for the improvement of healthcare quality and patient safety through, inter alia, actions contributing to the improvement of health literacy.

The 2014 work plan² sets out details of the financing mechanisms and priority areas for action to implement the programme and is available at http://ec.europa.eu/health/programme/events/adoption_workplan_2014_en.htm

The present call relates to the financing mechanism "project grants"³.

Interested parties active in the field of public health are invited to submit an application accordance with the provisions of Annex I Annex II and Annex VII of the 2014 work plan and this call text, in order to pursue the objectives of the second Health Programme.

The areas for funding, the eligibility, exclusion, selection and award criteria, the procedures for application and approval and the indicative amounts are described below.

2. OBJECTIVES

Chapter 2.1 of Annex I of the 2014 work plan sets out the priority areas for projects to be implemented through the present call. Only project proposals that directly correspond to the topic and description given will be considered for funding. Seven (7) areas for proposals for projects are announced with the present call document.

Within each call, it is not possible to submit project applications covering other topics or priorities than the ones for which the call is launched.

3. TIMETABLE

The final deadline for the submission of proposals is **25 September 2014**.

	Stages	Date/period
a)	Publication of the call	26/05/2014
b)	Deadline for submitting applications	25/09/2014
c)	Evaluation period (indicative)	29/09/2014 – 31/10/2014
d)	Information to applicants (indicative): Official letter	≤ 31/12/2014
e)	Signature of grant agreement (indicative)	≤ 24/06/2015

² Commission Implementing Decision of 26 May 2014- 2015/C 3383

³ The provisions of the 2014 Work Plan and those of the present call for proposals are complementary and mutually explanatory. Nevertheless, in case of ambiguities or discrepancies between the provisions of the 2014 Work Plan and those of the call for proposals the former will take precedence over the latter.

f)	Starting date of the action (indicative)	≥ 01/04/2015
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4. BUDGET AVAILABLE

The total budget earmarked for the co-financing of projects is estimated at EUR 12.300.000. The table 'Eligible activities' under point 6 below indicates the indicative amount available per call.

Proposals requesting more than 60% co-funding will need to comply with the criteria for exceptional utility, specified in the Annex VII of the 2014 work plan.

5. ADMISSIBILITY REQUIREMENT

- Applications must be submitted in writing via the [participant portal](#).
- Applications must be drafted in one of the EU official languages.

In principle, project proposals may be submitted in any official language of the European Union. However, in order to facilitate assessment by the evaluators, an English translation of the technical part (part B) should accompany any part B written in another EU official language.

Failure to comply with those requirements will lead to the rejection of the application.

6. ELIGIBILITY CRITERIA

6.1 Eligible applicants

Grant applications are eligible if submitted by legal persons.

The application shall state the legal status of the applicant.

Eligible countries

Only applications from entities established in one the following countries are eligible:

- 28 EU Member States;
- EFTA/EEA countries (Iceland, Norway) on the basis of the Agreement on the European Economic Area;
- Entities from third countries, like acceding countries, candidate countries and potential candidates benefiting from a pre-accession strategy, neighbouring countries and the countries to which, in accordance with the conditions laid down by a relevant bilateral or multilateral agreement, the ENP applies can apply for co-funding, only under condition that their country has signed a specific agreement.

In accordance with recital 18 of the Programme Regulation, collaboration should be facilitated with third countries not participating in the programme. This should not involve funding from the programme. Nevertheless, travel and subsistence expenses for experts invited from or travelling to such countries can be considered eligible costs in duly justified, exceptional cases, where this directly contributes to the objectives of the programme.

Applicants participating in a project proposal have to be different legal entities (i.e. independent from each other) from at least 3 countries participating in the Health Programme. Proposals which involve fewer applicants will be rejected.

6.2 Eligible activities

Project proposals may be submitted for the priority areas listed below. For full descriptions, please consult the work plan 2014. Proposals should match the specific description of a given action.

TITLE	INDICATIVE AMOUNT	Ref. in WP 2014	Grants foreseen
Making use of the potential of innovation for the prevention, management and self-assessment of major chronic diseases (diabetes, cardiovascular diseases...)	2 500 000	2.1.1.1	Several
Promoting early diagnosis and screening of preventable chronic diseases	1 500 000	2.1.1.2	Several
Developing innovative approaches to promote the professional reintegration of people with chronic diseases and improving their employability	1 000 000	2.1.1.3	One
Support in areas related to adherence, frailty, integrated care and multi-chronic conditions	3 000 000	2.1.3.1	Several
Financial support for statistical data in the area of medicinal product pricing in Member States	300 000	2.1.3.2	One
Towards a sustainable health monitoring and reporting system	3 500 000	2.1.3.3	One
Healthcare associated infections - Prevention and control in nursing homes and long-term care facilities	500 000	2.1.4.1	one

Implementation period

As a rule, the maximum duration of project is 36 months. The grant application must specify the scheduled starting date (if possible) and duration of the action.

Applications that have already commenced by the date on which the grant application is registered will be excluded from funding from the Health Programme.

The compliance with the eligibility criteria will be assessed based on the application content.

7. EXCLUSION CRITERIA

7.1. Exclusion from participation:

Applicants will be excluded from participating in the call for proposals procedure if they are in any of the following situations:

(a) they are bankrupt or being wound up, are having their affairs administered by the courts, have entered into an arrangement with creditors, have suspended business activities, are the subject of proceedings concerning those matters, or are in any analogous situation arising from a similar procedure provided for in national legislation or regulations;

(b) they or persons having powers of representation, decision making or control over them have been convicted of an offence concerning their professional conduct by a judgment of a competent authority of a Member State which has the force of res judicata;

(c) they have been guilty of grave professional misconduct proven by any means which the contracting authority can justify including by decisions of the EIB and international organisations;

(d) they are not in compliance with their obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which they are established or with those of the country of the contracting authority or those of the country where the grant agreement is to be performed;

(e) they or persons having powers of representation, decision making or control over them have been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation, money laundering or any other illegal activity, where such an illegal activity is detrimental to the Union's financial interests;

(f) they are currently subject to an administrative penalty referred to in Article 109(1).

7.2. Exclusion from award:

Applicants will not be granted financial assistance if, in the course of the grant award procedure, they:

(a) are subject to a conflict of interest;

(b) are guilty of misrepresenting the information required by the contracting authority as a condition of participation in the grant procedure or fail to supply this information;

(c) find themselves in one of the situations of exclusion, referred to in the above section.

In order demonstrate compliance with the exclusion criteria, the coordinator has to check the relevant box in online application. If selected for co-funding, all beneficiaries have to submit a declaration on their honour certifying that they are not in one of the situations referred to in articles 106(1) and 107 to 109 of the Financial Regulation^{4,5}. The applicants should follow the instructions in the participant portal.

8. SELECTION CRITERIA

8.1 Financial viability

Applicants must have stable and sufficient sources of funding to maintain their activity throughout the period during which the action is being carried out or the year for which the grant is awarded and to participate in its funding.

In case co-funding will be awarded, the financial viability of all beneficiaries will be assessed, except:

- a) the EU-contribution for the coordinator / other beneficiary is \leq EUR 100 000:
- b) the beneficiary is a public body

The documents that will be requested when assessing the financial viability include:

- the annual accounts (including the balance sheet and the profit and loss statement) for the past financial year for which the accounts were closed (for newly created entities, the business plan shall be submitted to replace the closed accounts);

In addition for a coordinator or other beneficiary requesting an EU-contribution of \geq EUR 750 000:

- an audit report produced by an approved external auditor certifying the accounts for the last financial year available.

8.2 Operational capacity

Applicants must have the professional resources, competencies and qualifications necessary to complete the proposed action.

As evidence the general profiles (qualifications and experiences) of all relevant professional staff in all organisations involved in the proposed action must be provided.

9. AWARD CRITERIA

Part B of the application serves to evaluate the award criteria.

⁴ [REGULATION \(EU, EURATOM\) NO 966/2012 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation \(EC, Euratom\) No 1605/2002](#)

⁵ [COMMISSION DELEGATED REGULATION \(EU\) on the rules of application of Regulation \(EU\) No.../2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union](#)

Generally, it is expected that projects have an efficient management structure, a clear evaluation strategy and a precise description of expected results. In addition, they should include a plan for using and disseminating results at EU level to appropriate target audiences.

As regards the award criteria, each proposal will be assessed according to criteria below, published in the work plan 2014. Only proposals that meet the eligibility, exclusion and selection criteria will be further assessed based on the award criteria.

Criteria	Maximum points	Threshold	Threshold in % of max. points
1 – Policy and contextual relevance	10	8	80%
2 – Technical quality	10	6	60%
3 – Management quality	10	6	60%
4 – Overall and detailed budget	10	6	60%
TOTAL	40	26	

1. Policy and contextual relevance (10 points, threshold: 8 points)

Sub-criteria that are taken into account in the assessment:

- Relevance of the contribution to meeting the objectives and priorities defined in the annual work plan of the 3rd Health Programme, under which the call for proposals is published,
- Added value at EU level in the field of public health,
- Pertinence of the geographical coverage of the proposals is high,
- Consideration of the social, cultural and political context.

2. Technical quality (10 points, threshold: 6 points)

Sub-criteria that are taken into account in the assessment:

- Quality of the evidence base,
- Quality of the content,
- Innovative nature, technical complementarity and avoidance of duplication of other existing actions at EU level,
- Quality of the evaluation strategy,
- Quality of the dissemination strategy and plan.

3. Management quality (10 points, threshold: 6 points)

Sub-criteria that are taken into account in the assessment:

- Quality of the planning and appropriate task distribution to implement the project,
- Relevance of the organisational arrangements, including financial management,
- Quality of the partnership.

4. Overall and detailed budget (10 points, threshold: 6 points)

Sub-criteria that are taken into account in the assessment:

- Relevance and appropriateness of the budget,
- Consistency of the estimated cost per applicant and the corresponding activities,
- Realistic estimation of person months per work package
- The budget allocated for evaluation and dissemination is reasonable.

Following the evaluation, all eligible proposals are ranked according to the total number of points awarded. Only proposals meeting all thresholds are eligible for co-funding. Depending on the specifications in the annual work plan and budget availability, the highest ranked proposal or proposals will be awarded co-financing.

10. LEGAL COMMITMENTS

Following the evaluation, Chafea establishes a list of proposals recommended for funding, ranked according to the total number of points awarded. Depending on budget available, the highest ranked proposal(s) will be awarded co-funding.

In the event of a grant awarded, the coordinator is invited to enter in an adaptation period via an electronic submission system. If successful, this should result in a grant agreement, drawn up in euro and detailing the conditions and level of funding.

Grant agreement: must be signed electronically first by the coordinator on behalf of the consortium and then by Chafea. All co-beneficiaries must accede to the grant agreement by signing it electronically.

11. FINANCIAL PROVISIONS

The Financial Regulation and the Rules of Application are the reference documents for the implementation of the third Health Programme.

11.1. General Principles

Grants must comply with the following principles:

a) Non-cumulative award

An action may only receive one grant from the EU budget.

In no circumstances shall the same costs be financed twice by the Union budget. To ensure this, applicants shall indicate the sources and amounts of Union funding received or applied for the same action or part of the action or for its functioning during the same financial year as well as any other funding received or applied for the same action.

b) Non-retroactivity

No grant may be awarded retrospectively for actions already completed.

A grant may be awarded for an action, which has already begun only where the applicant can demonstrate the need to start the action before the grant agreement is signed.

In such cases, costs eligible for financing may not have been incurred prior to the date of submission of the grant application.

c) Co-financing

Co-financing means that the resources, which are necessary to carry out the action, may not be entirely provided by the EU grant.

Co-financing of the action may take the form of:

- the beneficiary's own resources,
- income generated by the action,
- financial contributions from third parties.

d) Balanced budget

The estimated budget of the action is to be attached to the application form. It must have revenue and expenditure in balance.

The budget must be drawn up in euros.

e) Implementation contracts/subcontracting

Where the implementation of the action requires the award of procurement contracts (implementation contracts), the beneficiary must award the contract to the bid offering best value for money or the lowest price (as appropriate), avoiding conflicts of interests and retain the documentation for the event of an audit.

For public bodies: entities acting in their capacity of contracting authorities in the meaning of Directive 2004/18/EC or contracting entities in the meaning of Directive 2004/17/EC shall abide by the applicable national public procurement rules.

Sub-contracting, i.e. the externalisation of specific tasks or activities which form part of the action as described in the proposal must satisfy the conditions applicable to any implementation contract (as specified above) and in addition to them the following conditions:

- it may only cover the implementation of a limited part of the action;
- it must be justified having regard to the nature of the action and what is necessary for its implementation;
- it must be clearly stated in the proposal.

11.2. Funding form: mixed financing

Mixed financing grants are calculated on the basis of a detailed estimated budget indicating clearly the costs that are eligible for EU funding. The grant amount may neither exceed the eligible costs nor the amount requested. Amounts are indicated in euros.

➤ Maximum amount requested

The EU grant is limited to a maximum co-funding rate of 60% of **eligible costs**. In case of exceptional utility up to 80% can be requested (see work plan 2014, Annex VI).

Consequently, part of the total eligible expenses entered in the estimative budget must be financed from sources other than the EU grant (see section 11.1c).

➤ Eligible costs

Eligible costs are actually incurred by the beneficiary of a grant and meet all the criteria indicated in Article 6 of the grant agreement.

- Eligible (direct and indirect) costs are indicated in the grant agreement (see Articles 6.1., 6.2. and 6.3.);
- Ineligible costs are indicated in the grant agreement (see Article 6.4.).

Please note that contributions in kind are not considered eligible cost.

➤ Calculation of the final grant amount

Chafea establishes the final amount of the grant to the coordinator and the other beneficiaries after completion of the action, upon approval of the request for payment containing the documents indicated in the grant agreement.

The final grant amount is calculated as indicated in the grant agreement (see Article 5).

EU grants may not have the purpose or effect of producing a profit within the framework of the action of the beneficiary. **Profit shall be defined as a surplus of the receipts over the eligible costs incurred by the beneficiary**, when the request is made for payment of the balance. In this respect, where a profit is made, Chafea shall be entitled to recover the percentage of the profit corresponding to the Union contribution to the eligible costs actually incurred by the beneficiary to carry out the action. A partner (coordinator or other beneficiary) requesting an EU-contribution of EUR ≤ 60 000, is exempted from this provision.

11.3. Payment arrangements

The payments generally consist of the following:

The Chafea will transfer a pre-financing payment (see Article 16.2) to the coordinator within 30 days of the date when the last of the two parties signs the agreement, provided

all requested guarantees have been received. All other beneficiaries have to accede to the grant agreement before the coordinator can pass on the pre-financing.

The Chafea will make an interim payment (see Article 16.3) to reimburse the eligible costs incurred to implement the action during a given reporting period. Chafea will pay 60 days from receiving the periodic report.

The Chafea will establish the amount of the final payment to be made to the coordinator on the basis of the calculation of the final grant amount (see section 11.2 above). If the total of earlier payments is higher than the final grant amount, the coordinator will be required to reimburse the amount paid in excess by the Chafea through a recovery order (see Article 43).

For more details, please see Article 16 of the grant agreement.

11.4. Pre-financing guarantee

In the event that the applicant's financial capacity is not satisfactory, measures may be taken in order to limit the financial risks linked to the pre-financing payment. These may include a pre-financing guarantee for up to the same amount as the pre-financing may be requested or several reporting periods, linked to interim payments, after the approval of the periodic report.

If requested, the financial guarantee, in euro, shall be provided by an approved bank or financial institution established in one of the Member State of the European Union. When the beneficiary is established in a third country, the authorising officer responsible may agree that a bank or financial institution established in that third country may provide the guarantee if he considers that the bank or financial institution offers equivalent security and characteristics as those offered by a bank or financial institution established in a Member State. Amounts blocked in bank accounts shall not be accepted as financial guarantees.

The guarantee may be replaced by a joint and several guarantee by a third party or by a joint guarantee of the beneficiaries of an action who are parties to the same grant agreement.

The guarantee shall be released as the pre-financing is gradually cleared against interim payments or payments of balances to the beneficiary, in accordance with the conditions laid down in the grant agreement.

No financial guarantee will be requested for a beneficiary receiving an EU contribution of EUR \leq 100 000.

12. PUBLICITY

12.1. By the beneficiaries

Beneficiaries must clearly acknowledge the European Union's contribution in all publications or in conjunction with activities for which the grant is used in line with Article 38 of the grant agreement.

In this respect, beneficiaries are required to give prominence to the name and emblem of the European Union on all their publications, posters, programmes and other products realised under the co-financed project.

To do this they must use the text, the emblem and the disclaimer available at http://ec.europa.eu/eahc/management/visual_identity.html .

If this requirement is not fully complied with, the beneficiary's grant may be reduced in accordance with the provisions of the grant agreement.

12.2. By the Executive Agency / the Commission

With the exception of scholarships paid to natural persons and other direct support paid to natural persons in most need, all information relating to grants awarded in the course of a financial year shall be published on an internet site of the European Union institutions no later than the 30 June of the year following the financial year in which the grants were awarded.

The following information will be published:

- name of the beneficiary
- address of the beneficiary when the latter is a legal person, region when the beneficiary is a natural person, as defined on NUTS 2 level⁶ if he/she is domiciled within EU or equivalent if domiciled outside EU,
- subject of the grant,
- amount awarded.

Upon a reasoned and duly substantiated request by the beneficiary, the publication shall be waived if such disclosure risks threatening the rights and freedoms of individuals concerned as protected by the Charter of Fundamental Rights of the European Union or harm the commercial interests of the beneficiaries.

13. DATA PROTECTION

The reply to any call for proposals involves the recording and processing of personal data (such as name, address and CV). Such data will be processed pursuant to Regulation (EC) No 45/2001 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data. Unless indicated otherwise, the questions and any personal data requested are required to evaluate the application in accordance with the specifications of the call for proposal will be processed solely for that purpose by the Executive Agency / the Commission. Details concerning the processing of personal data are available on the privacy statement at: http://ec.europa.eu/eahc/about/data_protection.html

Personal data may be registered in the Early Warning System (EWS) only or both in the EWS and Central Exclusion Database (CED) by the Accounting Officer of the Commission, should the beneficiary be in one of the situations mentioned in:

- the Commission Decision 2008/969 of 16.12.2008 on the Early Warning System (for more information see the Privacy Statement on: http://ec.europa.eu/budget/contracts_grants/info_contracts/legal_entities/legal_entities_en.cfm),

or

- the Commission Regulation 2008/1302 of 17.12.2008 on the Central Exclusion Database (for more information see the Privacy Statement on http://ec.europa.eu/budget/explained/management/protecting/protect_en.cfm)

⁶ European Union Official Journal L 39, of 10 February 2007.

14. PROCEDURE FOR THE SUBMISSION OF PROPOSALS

Proposals must be submitted via the participant portal.

Before submitting a proposal:

1. Find a call:

<https://ec.europa.eu/research/participants/portal/desktop/en/opportunities/index.html>

2. Create an account to submit a proposal:

<https://webgate.ec.europa.eu/cas/eim/external/register.cgi>

3. Register all partners via the beneficiary registry:

<http://ec.europa.eu/research/participants/portal/desktop/en/organisations/register.html>

To create and submit your proposal Please go to: **LINK** and follow the instructions.

Applicants will be informed in writing about the results of the selection process.

In submitting a proposal, the applicant accepts the procedures and conditions as described in this call and in the documents to which it refers. Applications that do not comply with these requirements will be rejected.

➤ **Contacts**

For problems with the online submission tools please contact the IT helpdesk set-up for this purpose via the participant portal web-site.

For non-IT related questions a helpdesk at the Chafea is available at: +352 4301 37707, e-mail address: CHAFEA-HP-CALLS@ec.europa.eu weekdays between 9.30 – 12.00 and 14.00 – 17.00. Note that the helpdesk is not available on 29 and 30 May, 9 and 23 June and 15 August 2014.

Frequently asked questions are published on the website of the Chafea: <http://ec.europa.eu/chafea/health/faq.html>

In all correspondence relating to this call (e.g. when requesting information, or submitting an application), reference must be clearly made to this specific call. Once the electronic exchange system allocated a proposal ID, the applicant must use this number in all subsequent correspondence.

After the deadline for submission modifications to the application are impossible.

➤ **Annexes:**

- Guide for applicants
- Model grant agreement